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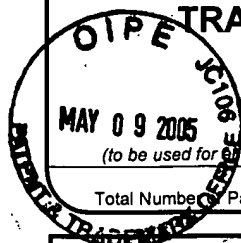
JFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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# TRANSMITTAL FORM

Total Number of Pages in This Submission

Application Number	09/736,055
Filing Date	December 13, 2000
First Named Inventor	Steven W. Lopez
Art Unit	3629
Examiner Name	Cosimano, Edward R.
Attorney Docket Number	081543.000003

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): (See Remarks)
<b>Remarks</b> 1. Fee Transmittal, check for \$630; 2. Amendment and Response to 02/07/2005 Office Action; 3. Transmittal of Annotated Drawings (8 sheets); 4. Transmittal of Formal Drawings (11 sheets) (including Replacement Sheets); 5. Third Supplemental Information Disclosure Statement; and 6. Postcard.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Bracewell & Giuliani LLP (Customer No. 35979)		
Signature			
Printed name	Jeffrey S. Whittle		
Date	5-6-05	Reg. No.	36,382

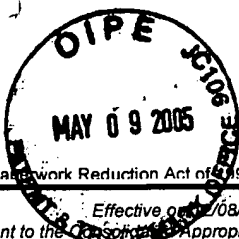
## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Dora Rios	Date	5-6-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04v2)

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Effective 08/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 630

**Complete if Known**

Application Number	09/736,055
Filing Date	December 13, 2000
First Named Inventor	Steven W. Lopez
Examiner Name	Cosimano, Edward R.
Art Unit	3629
Attorney Docket No.	081543.000003

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 500259 Deposit Account Name: Bracewell & Giuliani LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	0
Design	200	100	100	50	130	65	0
Plant	200	100	300	150	160	80	0
Reissue	300	150	500	250	600	300	0
Provisional	200	100	0	0	0	0	0

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b> <u>55</u>	<b>Extra Claims</b>	<b>Fee (\$)</b>
<u>61</u> - <u>20</u> or HP = <u>6</u> x <u>25</u> = <u>150</u>		<b>Fee Paid (\$)</b>
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b> <u>6</u>	<b>Extra Claims</b>	<b>Fee (\$)</b>
<u>9</u> - <u>3</u> or HP = <u>3</u> x <u>100</u> = <u>300</u>		<b>Fee Paid (\$)</b>
HP = highest number of independent claims paid for, if greater than 3.		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
<u>        </u> - 100 = <u>        </u> / 50 = <u>        </u> (round up to a whole number) x <u>        </u> = <u>        </u>				0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)	Fees Paid (\$)
	0
Other (e.g., late filing surcharge): <u>Third Supplemental Information Disclosure Statement</u>	180

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 36,382	Telephone 713-221-1185
Name (Print/Type)	Jeffrey S. Wittle		Date <u>5-6-05</u>

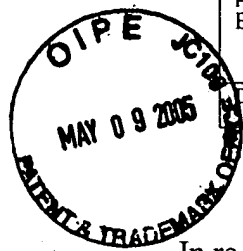
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Date \_\_\_\_\_

Dora Rios



**In re Patent Application of:  
Steven W. Lopez**

Serial No.: 09/736,055

Filed: December 13, 2000

For: SYSTEM AND METHODS FOR UNIFIED  
ROUTING OF MAILPIECES AND PROCESSING  
SENDER NOTIFICATIONS

Confirmation No. 6587

Examiner: Cosimano, Edward R.

Group Art Unit: 3629

Attorney Docket No. 081543.000003

Applicant submits herewith a copy of annotated FIGS. 1, 2, 3, 5, 6, 11, 12, and 15, with the changes circled in red.

Respectfully submitted,

Date:

5-6-05

Jeffrey S. Whittle, Reg. No. 36,382  
BRACEWELL & GIULIANI LLP  
P.O. Box 61389  
Houston, Texas 77208-1389  
Telephone: (713) 221-1189

**CERTIFICATE OF MAILING 37 C.F.R. 1.8(a)**

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Date 5-6-2005 Dora Rios  
Dora Rios

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Steven W. Lopez

Serial No.: 09/736,055

Filed: December 13, 2000

For: SYSTEM AND METHODS FOR UNIFIED  
ROUTING OF MAILPIECES AND PROCESSING  
SENDER NOTIFICATIONS

)  
) Confirmation No. 6587

)  
) Examiner: Cosimano, Edward R.

)  
) Group Art Unit: 3629

)  
) Attorney Docket No. 081543.000003

**TRANSMITTAL OF FORMAL DRAWINGS**

Applicant submits herewith formal drawings for FIGS. 1-16, which include replacement sheets for FIGS. 1, 2, 3, 5, 6, 11, 12, and 15. Please substitute in place of the drawings previously filed.

Respectfully submitted,

Date: 5-6-05

Jeffrey S. Whittle  
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